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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 20 2002

Application of: Pramod K. Srivastava

Application No.: 09/625,137

Group Art Unit: 1642

TECH CENTER 1600/2900

Filed: July 25, 2000

Examiner: Bansal, G.

For: ALPHA (2) MACROGLOBULIN RECEPTOR AS  
A HEAT SHOCK PROTEIN RECEPTOR AND  
USES THEREOF

Attorney Docket No.: 8449-123-999

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with Rule 48(a) of the Rules of Practice, Applicants respectfully request correction of inventorship to include Robert J. Binder as applicant/inventor in the subject application under 37 C.F.R. § 1.48(a). The omission of Robert J. Binder, which occurred during the initial filing of the application, arose inadvertently and without deceptive intent.

Applicants submit concurrently herewith: (a) a new Declaration for Nonprovisional Patent Application executed by the inventors Pramod K. Srivastava and Robert J. Binder; (b) a Power of Attorney executed on behalf of University of Connecticut Health Center; (c) the Inventor's Statement Pursuant to 37 C.F.R. § 1.48(a)(1); and (d) the Written Consent of the Assignee For Correction Of Inventorship. An Assignment executed by Robert J. Binder, assigning all rights to University of Connecticut Health Center, is being submitted under separate cover.

Applicants respectfully urge consideration of this request to include Robert J. Binder in the subject application in view of the above-mentioned documents. Enclosed are copies of previously submitted and accepted documents including a copy of the executed assignment recorded in the U.S. Patent and Trademark Office which establishes University of Connecticut Health Center as assignee of record of the subject application.

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Upon grant of this request, Applicants respectfully request that an amended filing receipt be issued in connection with the above-identified patent application listing Pramod K. Srivastava and Robert J. Binder as inventors of the disclosed subject matter.

It is estimated that a Processing Fee of \$130.00 pursuant to 37 C.F.R. § 1.17(i) is due. Please charge the required fee to Pennie & Edmonds LLP. Deposit Account No. 16-1150. A copy of this document is enclosed.

Respectfully submitted,

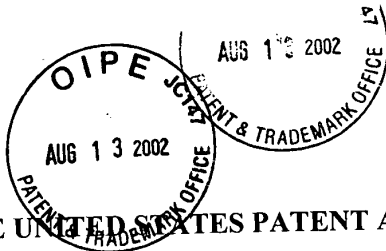
Date: August 13, 2002

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

By: Eileen E. Falvey 46,097  
Eileen E. Falvey (Reg. No.)

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures



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**CONSENT BY ASSIGNEE TO CORRECTION OF  
INVENTORSHIP UNDER 37 C.F.R. 1.48(a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The University of Connecticut Health Center, an institution of higher education, having an address at 263 Farmington Avenue, Farmington, Connecticut 06030-5355, and owner of the entire right, title and interest in, to and under the invention and U.S. patent application Serial No. 09/625,137, filed July 25, 2000, entitled "Alpha (2) Macroglobulin Receptor as a Heat Shock Protein Receptor and Uses Thereof" hereby consents to amendment of Application Serial No. 09/625,137 to name PRAMOD K. SRIVASTAVA and ROBERT J. BINDER as actual inventors.


The University of Connecticut Health Center certifies that it is the assignee of the entire right, title and interest in, to and under U.S. patent application Serial No. 09/625,137, pursuant to an assignment executed by Pramod K. Srivastava, the presently named inventor of Application Serial No. 09/625,137, filed July 25, 2000, which was recorded on July 25, 2000 at Reel 011114, Frame 0516, in the Assignment Division of the U.S. Patent and Trademark Office. A copy of that Assignment, together with the Notice of Recordation of Assignment Document issued by the Office, is attached hereto as Exhibit A.

The undersigned has reviewed all of the documents in the chain of title of Application Serial No. 09/625,137, and, to the best of undersigned's knowledge and belief, title is in University of Connecticut Health Center.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

UNIVERSITY OF CONNECTICUT HEALTH  
CENTER

Date: 7/11/02

By:   
Name: Michael F. Newborg, Ph.D.  
Title: Executive Director



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**STATEMENT BY THE INVENTOR TO BE ADDED  
PURSUANT TO 37 C.F.R. §1.48 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Robert J. Binder, have reviewed and understand the content of the Request to Correct Inventorship Under 37 C.F.R. §1.48(a) submitted concurrently herewith to amend the above-identified application to correctly name Pramod K. Srivastava and myself as co-inventors of the above-identified patent application. I hereby state that my name was inadvertently omitted as a co-inventor, and there was no deceptive intention on my part in the omission of my name as a co-inventor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that I make these statements with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

7/15/02  
Date

[Signature]  
Robert J. Binder